

# A quantum algorithm for Gibbs state preparation

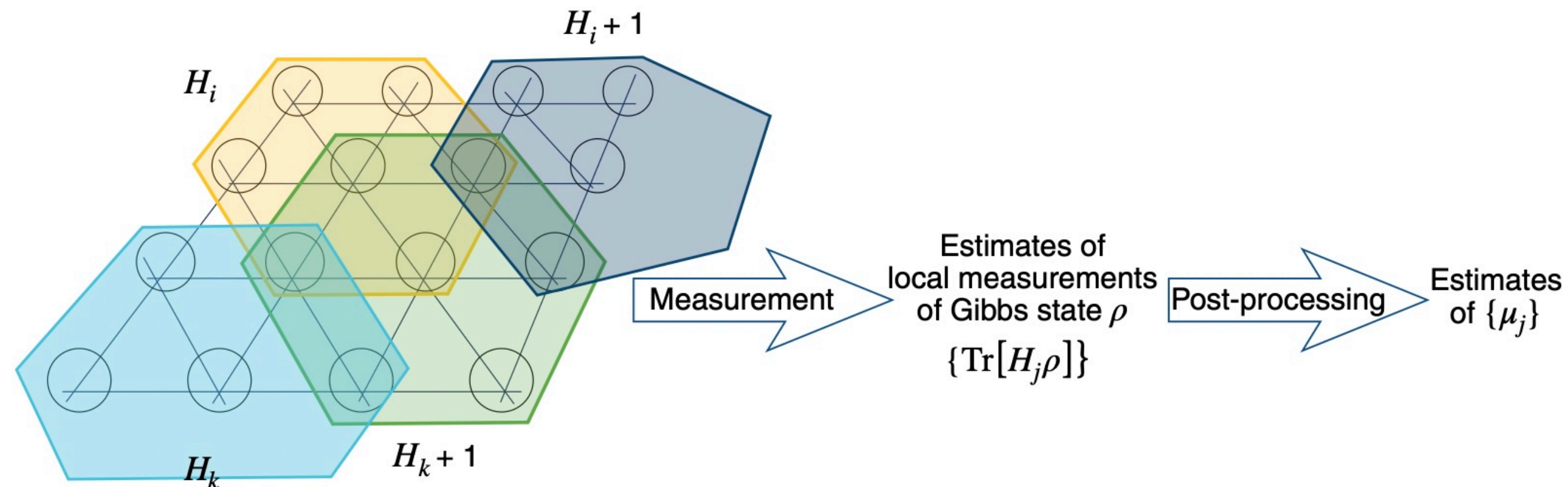
Based on arXiv:1603.02940 [Chowdhury & Somma 2017] [CS 17]

**Sriram Gopalakrishnan**

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# Motivation

- The **Gibbs State** of a many-qubit system encodes information about its underlying Hamiltonian
- Helps better understand Statistical Mechanics
- Sampling the gibbs state can be used to “learn” an approximate underlying Hamiltonian [AAKS 21, arXiv:2004.07266, Nature]



$$H = \sum \mu_j H_j$$

Nature viewpoint “Inside quantum black boxes” by Vedran Dunjko, 2021

# Problem Statement

- **Given:** An  $n$ -qubit Hamiltonian  $H = H^\dagger \in \mathbb{C}^{N \times N}$  ( $N = 2^n$ ) as a “frustration-free” projector decomposition

$$H = \sum_{k=1}^K \alpha_k \Pi_k$$

- Where  $K = \text{poly}(n)$  and each  $\Pi_k$  is a projector  $\Rightarrow \Pi_k^2 = \Pi_k \quad \forall k$
- **Also given:** A quantum computer in the state  $|0^n\rangle$  with access to  $\text{poly}(n)$  ancillas
- A Hamiltonian is “**frustration-free**” if the ground state of  $H$  is also the ground state of  $\Pi_k \quad \forall k$
- **Aside:** Not all local Hamiltonians with commuting terms are frustration-free

# Problem Statement

- Task (Informal): Prepare

$$\rho_G = \frac{e^{-\beta H}}{\text{tr}(e^{-\beta H})} = \frac{e^{-\beta H}}{Z} = \sum_{j=0}^{N-1} \frac{e^{-\beta E_j}}{Z} |\psi_j\rangle\langle\psi_j| \quad \left( H = \sum_{j=0}^{N-1} E_j |\psi_j\rangle\langle\psi_j| \right)$$

- More formally: Give a description of a unitary  $V$  such that

$$\text{tra} \left( V (|0^n\rangle\langle 0^n| \otimes |0\rangle\langle 0|_a) V^\dagger \right) = \hat{\rho}$$

$$\text{so that } \frac{1}{2} \|\hat{\rho} - \rho_G\|_1 \leq \epsilon$$

- Subscript “a” represents the full set of ancillary qubits. We would like  $|a| \leq \text{poly}(n)$  qubits
- All the ancillaries are traced out at the end of the computation. What remains must be  $\epsilon$ -close to the Gibbs State in trace norm

# Improvement over previous work

- [PW 09, arXiv:0905.2199] use QPE to find effective gate complexity of  $V$  as

$$O\left(\frac{\beta^6}{\epsilon^3} \sqrt{\frac{N}{Z}} \text{polylog}(\epsilon^{-1})\right)$$

- [CS 17, present work] use several tools (but excluding QPE) to find gate complexity of  $V$  as

$$O\left(\sqrt{\frac{N\beta}{Z}} \text{polylog}\left(\frac{1}{\epsilon} \sqrt{\frac{N\beta}{Z}}\right)\right)$$

- Polynomial improvement in  $\beta$ , exponential improvement in  $1/\epsilon$

# Algorithm: Step 1: Spectral Gap Amplification

- Construct  $\widetilde{H}$  acting on a larger Hilbert space  $[(n + \log K)$  qubits] as

$$\left( H = \sum_{k=1}^K \alpha_k \Pi_k \right) \quad \widetilde{H} = \sum_{k=1}^K \sqrt{\alpha_k} \Pi_k \otimes (|k\rangle\langle 0| + |0\rangle\langle k|)_{a_1}$$

- In a rough sense,  $\widetilde{H} \sim \sqrt{H}$  in this larger Hilbert space

$$(\widetilde{H})^2 |\phi\rangle \otimes |0\rangle_{a_1} = (H|\phi\rangle) \otimes |0\rangle_{a_1} \quad \text{for all states } |\phi\rangle \in \mathbb{C}^{2^n}$$

- This is called “**Spectral Gap Amplification**”. If  $\text{gap}(H) = \Delta$  and  $\text{gap}(\widetilde{H}) = \Delta'$ , then  $\Delta' \geq \Omega(\sqrt{\Delta})$  if  $H$  is frustration-free.
- [Somma Boixo 11, arXiv:1110.2494]

# Algorithm: Step 2: LCU in larger Hilbert space

- Denote  $M_k = (|k\rangle\langle 0| + |0\rangle\langle k|)_{a_1}$

Now note by Euler's formula that  $M_k = \frac{i}{2} (e^{-i\pi M_k/2} - e^{i\pi M_k/2})$

Also, for any projector  $\Pi_k$ , there exists a unitary  $U_k$  s.t.  $\Pi_k = \frac{1}{2} (I + U_k)$

$$\Rightarrow \widetilde{H} = \sum_{k=1}^K \sqrt{\alpha_k} \Pi_k \otimes M_k = \sum_{k=1}^{\widetilde{K}} \tilde{\alpha}_k \widetilde{U}_k$$

- Here  $\widetilde{K} = 4K$ , and  $\tilde{\alpha}_k$  &  $\widetilde{U}_k$  can be directly expressed using  $\alpha_k$  and  $U_k, M_k$  respectively.
- Takeaway from Step 1+Step2: Given a projector decomposition of  $H$ , we can explicitly construct  $\widetilde{H}$  as a Linear Combination of Unitaries (LCU) in the larger Hilbert space

## Algorithm: Step 3: “Hubbard-Stratonovich Transformation” (HST)

- We painstakingly constructed  $\widetilde{H}$  from  $H$ . What benefit do we gain out of this?
- Express  $e^{-\beta H/2}$  (~gibbs state) purely in terms of  $e^{-\sqrt{\beta H}}$ , and hence  $e^{-\sqrt{\beta} \widetilde{H}}$

$$e^{-\beta H/2} = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} dy e^{-y^2/2} \exp(-iy\sqrt{\beta H}) \quad [HST]$$

- Integral needs  $\sqrt{H}$ , we instead use  $\widetilde{H}$  !
- However  $y$  is a continuous variable. Hence we have to **discretize** the HST integral into a **finite sum**, which has the **LCU** form. Continued next slide



# Algorithm: Step 3 continued: Discretize HST

- Lemma (informal):

$$X_\beta = \frac{1}{\sqrt{2\pi}} \sum_{j=-J}^{+J} c_j \exp\left(-iy_j \sqrt{\beta} \widetilde{H}\right) \quad c_j := \delta y e^{-y_j^2/2}$$

is  $\epsilon$ -close (in  $L_2$  norm) to  $e^{-\beta H/2}$  over its action on **any** state  $|\phi\rangle |0\rangle_{a_1} \in \mathbb{C}^N \otimes \mathbb{C}^K$

**if**

$$J = \Theta\left(\sqrt{\frac{\|H\|}{\beta}} \log(\epsilon^{-1})\right)$$

$$\Rightarrow \|(X_\beta - e^{-\beta H/2}) |\phi\rangle |0\rangle_{a_1}\| \leq \Theta(\epsilon)$$

# Algorithm: Step 4: Hamiltonian Simulation

- Use cutting-edge Hamiltonian Simulation, like [\[BCCKS 14, arXiv:1412.4687\]](#)

to approximate  $\exp(-iy_j\sqrt{\beta}\widetilde{H})$  for each  $j \in \{-J, \dots, J\}$  by an

$\epsilon$ -close (in spectral norm) unitary construction  $W_j$  so that

$$X_\beta \approx \sum_{j=-J}^J c_j W_j$$

- Example of how such a  $W_j$  is constructed? Continued next slide

## Algorithm: Step 4: Construct $W_j$ (example)

- To get  $W$   $\epsilon$ -close to  $e^{-i\widetilde{H}t}$  given  $\widetilde{H} = \sum_{k=1}^{\widetilde{K}} \widetilde{\alpha}_k \widetilde{U}_k$ 
  - i) Assume query access to  $\widetilde{Q} = \sum_{k=1}^{\widetilde{K}} \widetilde{U}_k \otimes |k\rangle\langle k|_{a_2}$  with  $|a_2| = O(\log K)$  ancillas
  - ii) Use [BCCKS 14] to construct  $W$  with optimal query complexity
- Total gate complexity of  $W$  is equal to  $O\left((K + C_U \log K)\tau \frac{\log(\tau/\epsilon)}{\log \log(\tau/\epsilon)}\right)$  with  $\tau = |t| \sum_k \widetilde{\alpha}_k$

## Algorithm: Step 5: Implement $X_\beta |\phi\rangle$ for maximally entangled $|\phi\rangle$

- At this point, we know  $\{c_j\}$  as well as gate constructions of  $\{W_j\}$  such that  $X_\beta = \sum c_j W_j$  is  $\epsilon$ -close to  $e^{-\beta H/2}$ .
- Now implement  $X_\beta |\phi\rangle$  for  $|\phi\rangle = \frac{1}{\sqrt{N}} \sum_{\sigma=0}^{N-1} |\sigma\rangle |\sigma\rangle_{a_4}$  with two more new sets of ancillas:  $|a_3| = \log(2J + 1)$  &  $|a_4| = n$  qubits, where the  $|a_3|$  ancillas act as controls for an LCU protocol
- **Result:**  $X_\beta |\phi\rangle$  is  $\epsilon$ -close to  $e^{-\beta H/2} |\phi\rangle$  and we know that  $e^{-\beta H/2} |\phi\rangle \equiv$  Gibbs state @ temp  $\frac{2}{\beta}$
- **Note:**  $|a| = (|a_1| + |a_2| + |a_3| + |a_4|) = n + \log(K^2(2J + 1))$  ancillas used in total

## Algorithm: Step 6: Measure and Stop

$$\hat{\rho} = \text{tr}_{a_1 a_2 a_3 a_4} \left( \frac{X_\beta |\phi\rangle\langle\phi| X_\beta^\dagger}{\|X_\beta |\phi\rangle\|^2} \right)$$

satisfies  $\|\hat{\rho} - \rho_G\| \leq \Theta(\epsilon)$

# Summary

- A quantum algorithm for Gibbs state preparation was constructed using several tricks including SGA, LCU, AA and fourier analysis.
- Relative to [Poulin Wocjan 09], authors prove improvements in gate complexity

Thank you for listening!